

NYC Board of Correction

Lockdowns

Overall and Facility Findings
January 2018

Table of Contents

Background and Methodology	1
Lockdown Reporting Data and Methodology	1
Notes for Interpretation.....	1
Lockdown Analyses	2
Total Lockdowns by Facility (2016-2017)	2
Facility-Wide Lockdowns by Facility (2016-2017)	2
Housing Area Lockdowns by Facility (2016-2017).....	3
Portion of Lockdowns that were Facility-Wide Lockdowns (2016-2017).....	3
Differences Between Total Lockdowns Reported: MSR vs. BOC Coding of 24-Hour Reports.....	4
Average Daily Population by Facility (2016-2017).....	4
Total DOC Lockdowns	5
Annual Total Number of DOC Lockdowns	5
2017 Monthly Total Number of DOC Lockdowns.....	5
Reasons for DOC Lockdowns – Facility-Wide and Housing Area (2017)	6
AMKC	7
Annual Total Number of AMKC Lockdowns	7
2017 Monthly Total Number of AMKC Lockdowns	7
Reasons for AMKC Lockdowns – Facility-Wide and Housing Area (2017)	8
BKDC	9
Annual Total Number of BKDC Lockdowns	9
2017 Monthly Total Number of BKDC Lockdowns	9
Reasons for BKDC Lockdowns – Facility-Wide and Housing Area (2017).....	10
EMTC	11
Annual Total Number of EMTC Lockdowns.....	11
2017 Monthly Total Number of EMTC Lockdowns	11
Reasons for EMTC Lockdowns – Facility-Wide and Housing Area (2017)	12
GMDC	13
Annual Total Number of GMDC Lockdowns.....	13
2017 Monthly Total Number of GMDC Lockdowns	13
Reasons for GMDC Lockdowns – Facility-Wide and Housing Area (2017)	14
GRVC	15
Annual Total Number of GRVC Lockdowns	15
2017 Monthly Total Number of GRVC Lockdowns.....	15
Reasons for GRVC Lockdowns – Facility-Wide and Housing Area (2017)	16

MDC	17
Annual Total Number of MDC Lockdowns	17
2017 Monthly Total Number of MDC Lockdowns.....	17
Reasons for MDC Lockdowns – Facility-Wide and Housing Area (2017)	18
NIC	19
Annual Total Number of NIC Lockdowns	19
2017 Monthly Total Number of NIC Lockdowns	19
Reasons for NIC Lockdowns (2017).....	20
OBCC	21
Annual Total Number of OBCC Lockdowns	21
2017 Monthly Total Number of OBCC Lockdowns.....	21
Reasons for OBCC Lockdowns – Facility-Wide and Housing Area (2017)	22
RMSC	23
Annual Total Number of RMSC Lockdowns.....	23
2017 Monthly Total Number of RMSC Lockdowns	23
Reasons for RMSC Lockdowns – Facility-Wide and Housing Area (2017).....	24
RNDC	25
Annual Total Number of RNDC Lockdowns.....	25
2017 Monthly Total Number of RNDC Lockdowns	25
Reasons for RNDC Lockdowns – Facility-Wide and Housing Area (2017).....	26
VCBC	27
Annual Total Number of VCBC Lockdowns	27
2017 Monthly Total Number of VCBC Lockdowns	27
Reasons for VCBC Lockdowns – Facility-Wide and Housing Area (2017).....	28
West Facility	29
Annual Total Number of West Facility Lockdowns.....	29
2017 Monthly Total Number of West Facility Lockdowns	29
Reasons for West Facility Lockdowns – Facility-Wide and Housing Area (2017).....	30

Background and Methodology

Lockdown Reporting Data and Methodology

These findings are based on analyses of DOC's Monthly Security Reports (MSR) from January 2008 through November 2017. The MSR does not report lockdowns by lockdown type (facility-wide or housing area) nor does the MSR include metrics related to the duration of lockdown incidents. For a more comprehensive understanding of lockdowns, Board staff reviewed each 24-Hour COD report from January 2016 to November 2017. The Department's 24-hour COD reports include daily information on facility incidents (i.e., type, facility, incident date, and report date) and lockdown durations. Board staff coded reasons for lockdown, duration of lockdown, total census, and location as reported by DOC staff in the 24-hour COD reports. This approach allowed the Board to identify the breakdown between housing area and facility-wide lockdowns and the most common reasons for lockdowns.

Notes for Interpretation

- From January 2016 to November 2017, the ADP in West Facility increased by 63%.
- NIC was closed from January to March 2016 and from January to September 2017. NIC Infirmary was open for the entire period.
- There may be more than one reason associated with one lockdown incident and one incident may affect more than one housing area. Therefore, the percentages do not add up to 100%.

Lockdown Analyses

Total Lockdowns by Facility (2016-2017)*

Total Number of Lockdowns			
Facility	2016	2017*	Percent Change
West	5	53	960%
NIC	3	12	300%
RNDC	69	149	116%
OBCC	263	500	90%
BKDC	230	395	72%
MDC	137	195	42%
VCBC	60	68	13%
EMTC	259	293	13%
RMSC	164	165	1%
GMDC	240	207	-14%
AMKC	258	219	-15%
GRVC	154	128	-17%
Overall	1843	2386	29%

*2017 data only through November.

SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

- In 2017, these three facilities had the highest total number of lockdowns: OBCC (n=500), BKDC (n=395), and EMTC (n=293).
- Four facilities experienced more than a 50% increase in the total number of lockdowns since 2016: West Facility (960%), RNDC (116%), OBCC (90%), and BKDC (72%).

Facility-Wide Lockdowns by Facility (2016-2017)*

Facility-Wide Lockdowns			
Facility	2016	2017*	Percent Change
West	4	44	1000%
RMSC	2	16	700%
VCBC	8	29	263%
RNDC	10	16	60%
MDC	41	58	41%
OBCC	59	76	29%
NIC	0	0	0%
GRVC	29	27	-7%
BKDC	79	59	-25%
AMKC	55	41	-25%
GMDC	57	40	-30%
EMTC	25	16	-36%
Overall	370	424	15%

*2017 data only through November.

SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

- In 2017, these three facilities had the highest number of facility-wide lockdowns: OBCC (n=76), BKDC (n=59), and MDC (n=58).
- Four facilities experienced more than a 50% increase in facility-wide lockdowns since 2016: West Facility (1000%), RMSC (700%), VCBC (263%), and RNDC (60%).

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

Lockdown Analyses

Housing Area Lockdowns by Facility (2016-2017)*

Housing Area Lockdowns			
Facility	2016	2017*	Percent Change
West	1	9	800%
NIC	3	12	300%
RNDC	59	133	125%
BKDC	151	336	123%
OBCC	204	424	108%
MDC	96	137	43%
EMTC	234	277	18%
RMSC	162	149	-8%
GMDC	183	167	-9%
AMKC	203	178	-12%
GRVC	125	101	-19%
VCBC	52	39	-25%
Overall	1473	1962	33%

*2017 data only through November.

SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

- In 2017, these three facilities had the highest number of housing area lockdowns: OBCC (n=424), BKDC (n=336), and EMTC (n=277).
- Four facilities experienced more than a 50% increase in housing area lockdowns since 2016: West Facility (800%), RNDC (125%), BKDC (123%), and OBCC (108%).

Portion of Lockdowns that were Facility-Wide Lockdowns (2016-2017)*

Portion of Facility-Wide Lockdowns			
Facility	2016	2017*	Percent Change
VCBC	13%	43%	29%
RMSC	1%	10%	8%
West	80%	83%	3%
GRVC	19%	21%	2%
NIC	0%	0%	0%
MDC	30%	30%	0%
AMKC	21%	19%	-3%
RNDC	14%	11%	-4%
EMTC	10%	5%	-4%
GMDC	24%	19%	-4%
OBCC	22%	15%	-7%
BKDC	34%	15%	-19%
Overall	20%	18%	-2%

*2017 data only through November.

SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

- In 2017, West Facility (83%), VCBC (43%), MDC (30%), and GRVC (21%) relied more on facility-wide lockdowns than all other DOC facilities.

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

Lockdown Analyses

Differences Between Total Lockdowns Reported: MSR vs. BOC Coding of 24-Hour COD Reports

Facility	Total Number of Lockdowns (MSR vs. COD Reports)				2016-2017 Percent	2016-2017 Percent
	2016 (MSR)	2016 (COD)	2017 (MSR)*	2017 (COD)*	Change per MSR*	Change per COD Reports*
West	7	5	55	53	686%	960%
NIC	4	3	12	12	200%	300%
RNDC	74	69	152	149	105%	116%
OBCC	264	263	516	500	95%	90%
BKDC	235	230	405	395	72%	72%
MDC	137	137	192	195	40%	42%
VCBC	59	60	64	68	8%	13%
EMTC	257	259	298	293	16%	13%
RMSC	161	164	169	165	5%	1%
GMDC	247	240	207	207	-16%	-14%
AMKC	252	258	230	219	-9%	-15%
GRVC	152	154	133	128	-13%	-17%
Overall	1849	1843	2433	2836	32%	54%

*2017 data only through November.

SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY 2016-NOVEMBER 2017 (on file). BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

Average Daily Population by Facility (2016-2017)

Facility	Average Daily Population		
	2016	2017*	Percent Change
West	36	49	36%
NIC	113	140	24%
VCBC	751	787	5%
EMTC	1215	1246	3%
GRVC	670	678	1%
RMSC	614	595	-3%
MDC	746	721	-3%
RNDC	750	714	-5%
AMKC	2195	2029	-8%
BKDC	607	549	-10%
OBCC	1076	944	-12%
GMDC	782	590	-25%
Overall	9757	9222	-5%

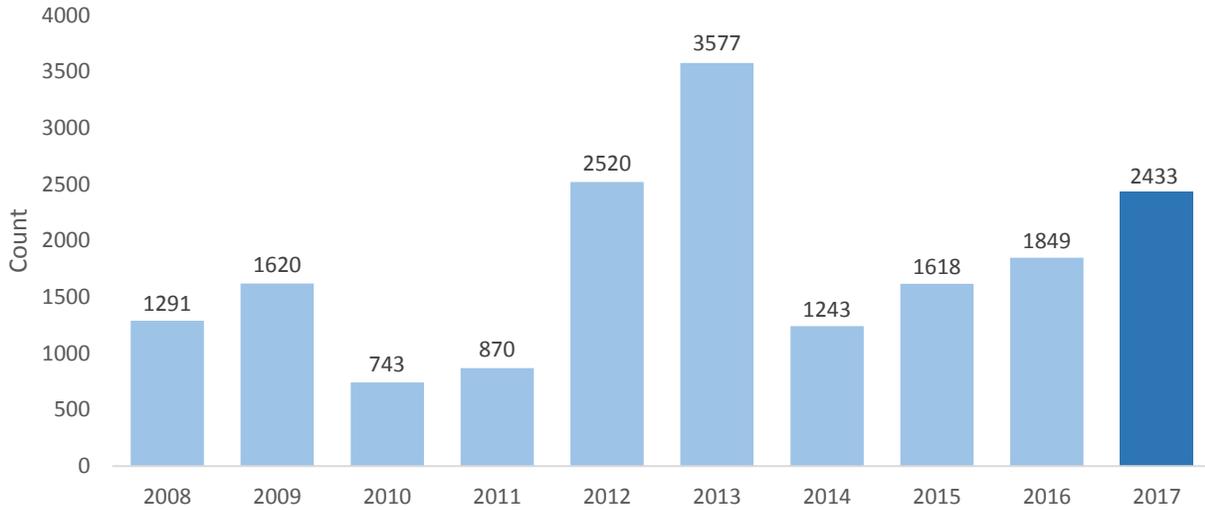
*2017 data through December. SOURCE: DOC 5 a.m. Census.

- Five facilities experienced an increase in ADP from 2016 to 2017: West (36%), NIC (24%), VCBC (5%), EMTC (3%), and GRVC (1%).
- Between 2016 to 2017, GMDC experienced a 25% decrease in ADP.

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

Total DOC Lockdowns

Annual Total Number of DOC Lockdowns
January 2008 - November 2017

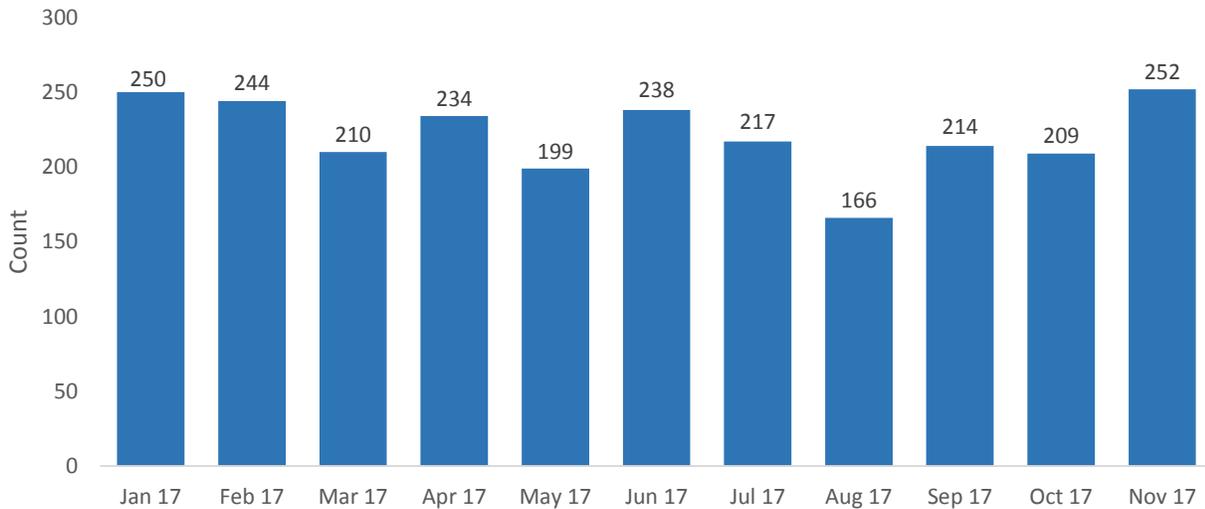


SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY 2008-NOVEMBER 2017 (on file).

Total Annual: Mean: 1776 Median: 1619 Min: 743 Max: 3577

- Since 2008, the total number of DOC lockdowns have steadily increased by 88%, with peaks in 2012 (n=2,520) and 2013 (n=3,577).

2017 Monthly Total Number of DOC Lockdowns
January - November 2017



SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY-NOVEMBER 2017 (on file).

2017 Monthly: Mean: 221 Median: 217 Min: 166 Max: 252

- There was a total of 2,386 lockdowns in 2017. Eighteen percent (18%, n=424) of lockdowns were facility-wide lockdowns, and 82% (n=1,962) affected one or more housing areas.*
- In 2017, OBCC (n=500), BKDC (n=395), and EMTC (n=293) had the highest total number of lockdowns.

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

Total DOC Lockdowns

Reasons for Lockdowns (2017)*

Reason	Percent of Total
Use of Force	36%
Tension	21%
Inmate Fight	18%
Slashing/Stabbing	15%
Search	7%
Assault on Staff	6%
Other	2%
SRG	2%
Contraband	1%
Missing Razor	1%
Number of Lockdowns	2386

- Use of force (36%, n=856), inmate tension (21%, n=504), and inmate fight (18%, n=431) were the top three reasons for lockdowns within DOC facilities in 2017.

Reasons for Facility-Wide Lockdowns (2017)*

Reason	Percent of Total
Slashing/Stabbing	48%
Search	27%
Use of Force	17%
Tension	6%
Assault on Staff	3%
SRG	1%
Inmate Fight	1%
Other	1%
Contraband	0%
Missing Razor	0%
Number of Lockdowns	424

- From 2016 to 2017, DOC facilities experienced a 2% decrease in the use of facility-wide lockdowns.
- Slashing/Stabbing (48%, n=205), searches (27%, n=113), and use of force (17%, n=72) were the top three reasons for facility-wide lockdowns within DOC facilities in 2017.

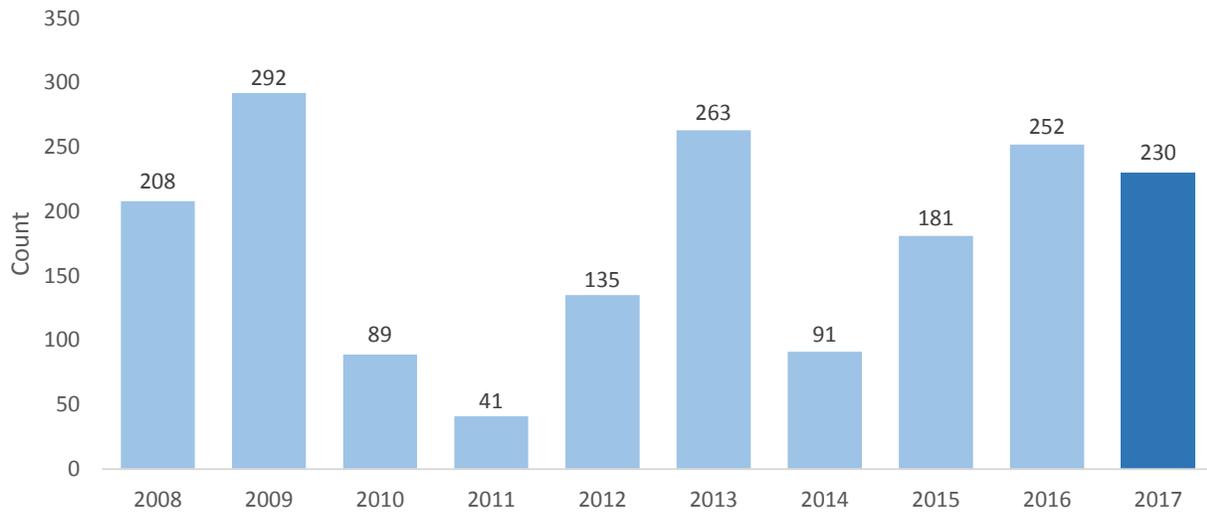
Reasons for Housing Area Lockdowns (2017)*

Reason	Percent of Total
Use of Force	40%
Tension	24%
Inmate Fight	22%
Slashing/Stabbing	8%
Assault on Staff	7%
Search	2%
Other	2%
SRG	2%
Contraband	2%
Missing Razor	1%
Number of Lockdowns	1962

- Use of force (40%, n=784), inmate tension (24%, n=480), and inmate fight (22%, n=426) were the top three reasons for housing area lockdowns within DOC facilities in 2017.

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

Annual Total Number of AMKC Lockdowns
January 2008 - November 2017

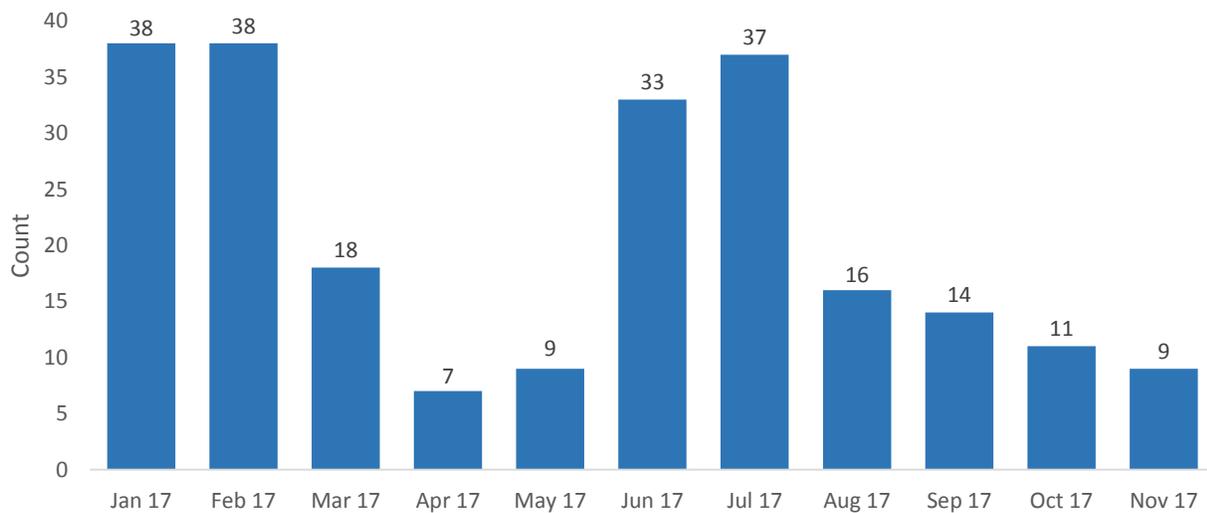


SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY 2008-NOVEMBER 2017 (on file).

Total Annual: Mean: 178 Median: 195 Min: 41 Max: 292

- There was a 541.4% increase in lockdowns between 2011 and 2013.
- There was a 167.9% increase in lockdowns between 2014 and 2016.

2017 Monthly Total Number of AMKC Lockdowns
January - November 2017



SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY-NOVEMBER 2017 (on file).

2017 Monthly: Mean: 21 Median: 16 Min: 7 Max: 38

- In 2017, AMKC had a total of 219 lockdowns. Nineteen percent (19%, n=41) of lockdowns were facility-wide lockdowns, and 81% (n=178) affected one or more housing areas.*

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

AMKC

Reasons for Lockdowns (2017)*

Reason	Percent of Total
Tension	33%
Slashing/Stabbing	24%
Use of Force	20%
Inmate Fight	11%
Assault on Staff	9%
Search	5%
SRG Assessment	2%
Other	1%
Missing Razor	1%
Contraband	0%
Number of Lockdowns	219

- Inmate tension (33%, n=72), slashing/stabbing (24%, n=52), and use of force (20%, n=43) were the top three reasons for lockdowns in AMKC in 2017.

Reasons for Facility-Wide Lockdowns (2017)*

Reason	Percent of Total
Slashing/Stabbing	66%
Search	29%
SRG	2%
Tension	2%
Assault on Staff	0%
Contraband	0%
Inmate Fight	0%
Missing Razor	0%
Use of Force	0%
Other	0%
Number of Lockdowns	41

- From 2016 to 2017, AMKC experienced a 3% decrease in the use of facility-wide lockdowns.
- Slashing/Stabbing (66%, n=27) and searches (29%, n=12) were the top two reasons for facility-wide lockdowns in AMKC in 2017.

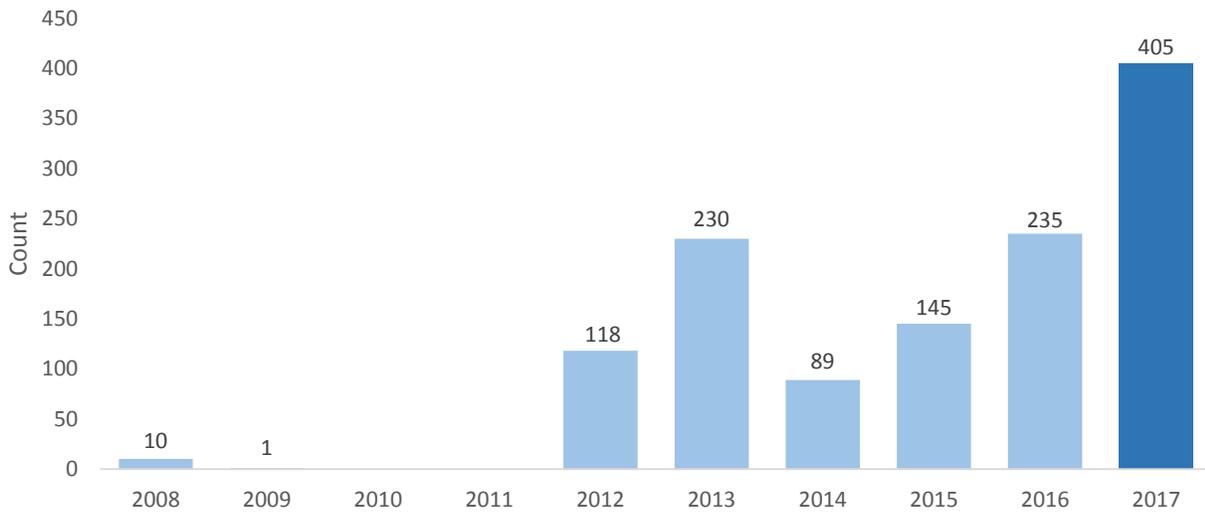
Reasons for Housing Area Lockdowns (2017)*

Reason	Percent of Total
Tension	40%
Use of Force	24%
Inmate Fight	14%
Slashing/Stabbing	14%
Assault on Staff	11%
SRG	2%
Other	2%
Missing Razor	1%
Contraband	0%
Search	0%
Number of Lockdowns	178

- Inmate tension (40%, n=71) and use of force (24%, n=43) were the top two reasons for housing area lockdowns in AMKC in 2017.

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

Annual Total Number of BKDC Lockdowns
January 2008 - November 2017

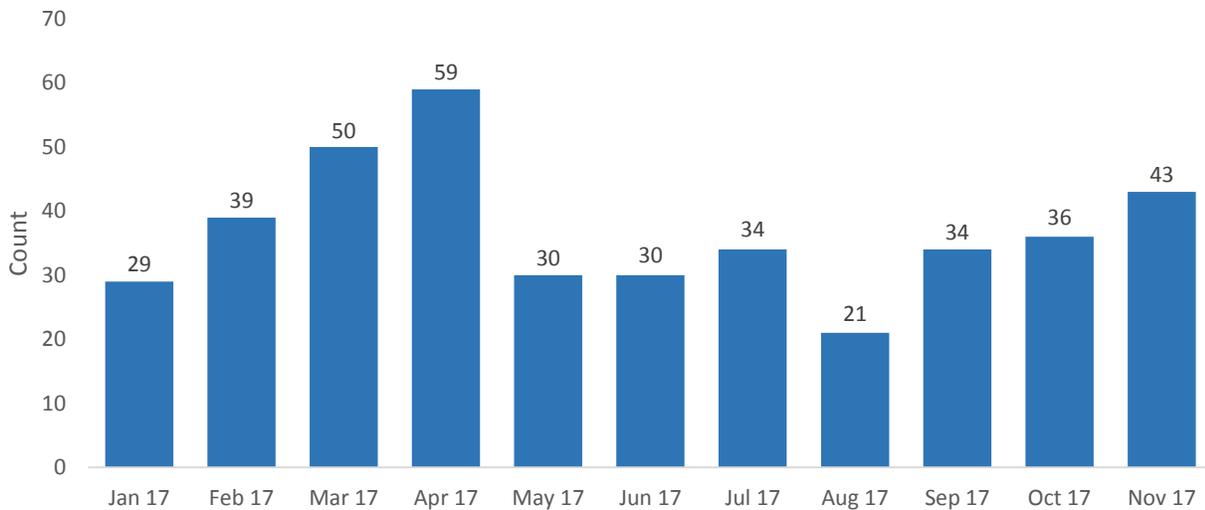


SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY 2008-NOVEMBER 2017 (on file). BKDC closed from May 2010 to January 2012.

Total Annual: Mean: 123 Median: 104 Min: 1 Max: 405

- There was a 355% increase in lockdowns between 2014 and 2017.

2017 Monthly Total Number of BKDC Lockdowns
January - November 2017



SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY-NOVEMBER 2017 (on file).

2017 Monthly: Mean: 37 Median: 34 Min: 21 Max: 59

- In 2017, BKDC had a total of 395 lockdowns. Fifteen percent (15%, n=59) of lockdowns were facility-wide lockdowns, and 85% (n=336) affected one or more housing areas.*

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

BKDC

Reasons for Lockdowns (2017)*

Reason	Percent of Total
Inmate Fight	36%
Use of Force	26%
Slashing/Stabbing	16%
Assault on Staff	12%
Tension	11%
Search	3%
Contraband	2%
Other	2%
Missing Razor	1%
SRG	0%
Number of Lockdowns	395

- Inmate-On-Inmate fight (36%, n=141), use of force (26%, n=101), and slashing/stabbing (16%, n=62) were the top three reasons for lockdowns in BKDC in 2017.

Reasons for Facility-Wide Lockdowns (2017)*

Reason	Percent of Total
Slashing/Stabbing	64%
Other	15%
Search	14%
Assault on Staff	3%
Inmate Fight	3%
Use of Force	2%
Contraband	0%
Missing Razor	0%
SRG	0%
Tension	0%
Number of Lockdowns	59

- From 2016 to 2017, BKDC experienced a 19% decrease in the use of facility-wide lockdowns.
- Slashing/Stabbing (64%, n=38), other reasons (15%, n=9), and searches (14%, n=8) were the top three reasons for facility-wide lockdowns in BKDC in 2017.
 - “Other reasons” could include distribution of uniforms, splashing, etc.

Reasons for Housing Area Lockdowns (2017)*

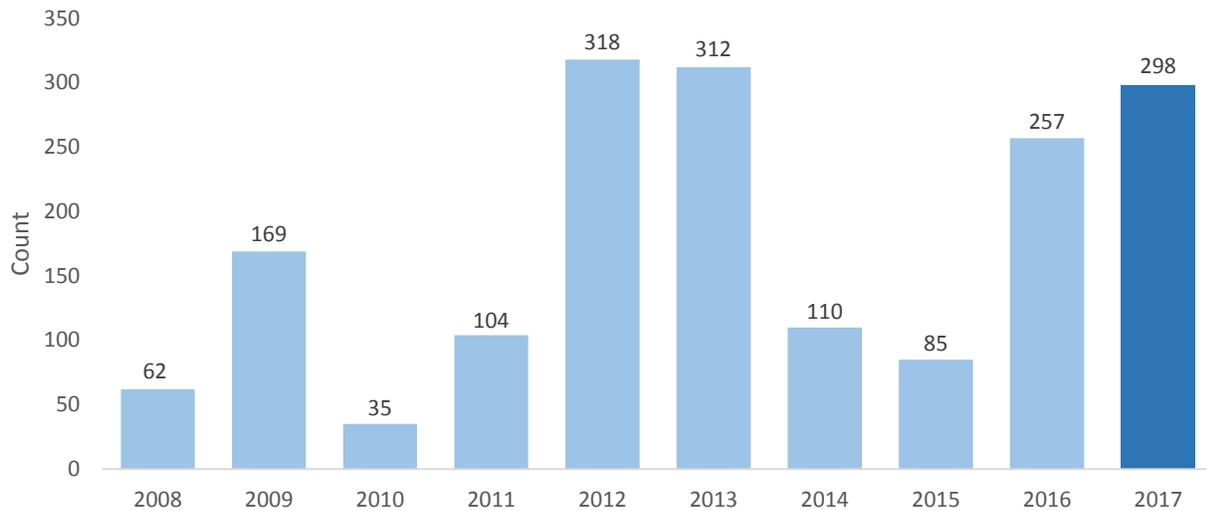
Reason	Percent of Total
Inmate Fight	41%
Use of Force	27%
Assault on Staff	13%
Tension	13%
Slashing/Stabbing	7%
Contraband	3%
Other	3%
Search	1%
Missing Razor	1%
SRG	0%
Number of Lockdowns	336

- Inmate-On-Inmate fight (41%, n=139) and use of force (27%, n=92) were the top two reasons for housing area lockdowns in BKDC in 2017.

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

EMTC

Annual Total Number of EMTC Lockdowns
January 2008 - November 2017

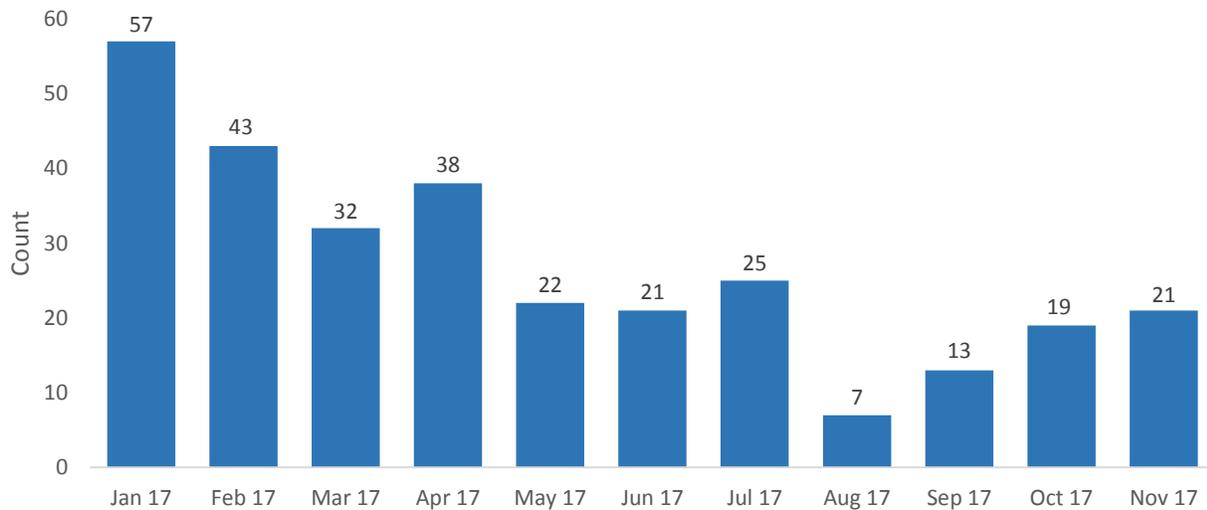


SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY 2008-NOVEMBER 2017 (on file).

Total Annual: Mean: 175 Median: 140 Min: 35 Max: 318

- The number of lockdowns peaked in 2012 (n=318), 2013 (n=312), and 2017 (n=298).

2017 Monthly Total Number of EMTC Lockdowns
January - November 2017



SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY-NOVEMBER 2017 (on file).

2017 Monthly: Mean: 27 Median: 32 Min: 7 Max: 57

- In 2017, EMTC had a total of 293 lockdowns. Five percent (5%, n=16) of lockdowns were facility-wide lockdowns, and 95% (n=277) affected one or more housing areas.*

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

EMTC

Reasons for Lockdowns (2017)*

Reason	Percent of Total
Inmate Fight	50%
Use of Force	39%
Assault on Staff	5%
Slashing/Stabbing	3%
Tension	3%
Search	2%
Other	2%
Missing Razor	1%
Contraband	0%
SRG	0%
Number of Lockdowns	293

- Inmate-On-Inmate fight (50%, n=147), use of force (39%, n=113), and assault on staff (5%, n=16) were the top three reasons for lockdowns in EMTC in 2017.

Reasons for Facility-Wide Lockdowns (2017)*

Reason	Percent of Total
Search	38%
Slashing/Stabbing	38%
Tension	13%
Assault on Staff	6%
Inmate Fight	6%
Other	6%
Contraband	0%
Missing Razor	0%
SRG	0%
Use of Force	0%
Number of Lockdowns	16

- From 2016 to 2017, EMTC experienced a 4% decrease in the use of facility-wide lockdowns.
- Searches (38%, n=6), slashing/stabbing (38%, n=6), and inmate tension (13%, n=2) were the top three reasons for facility-wide lockdowns in EMTC in 2017.

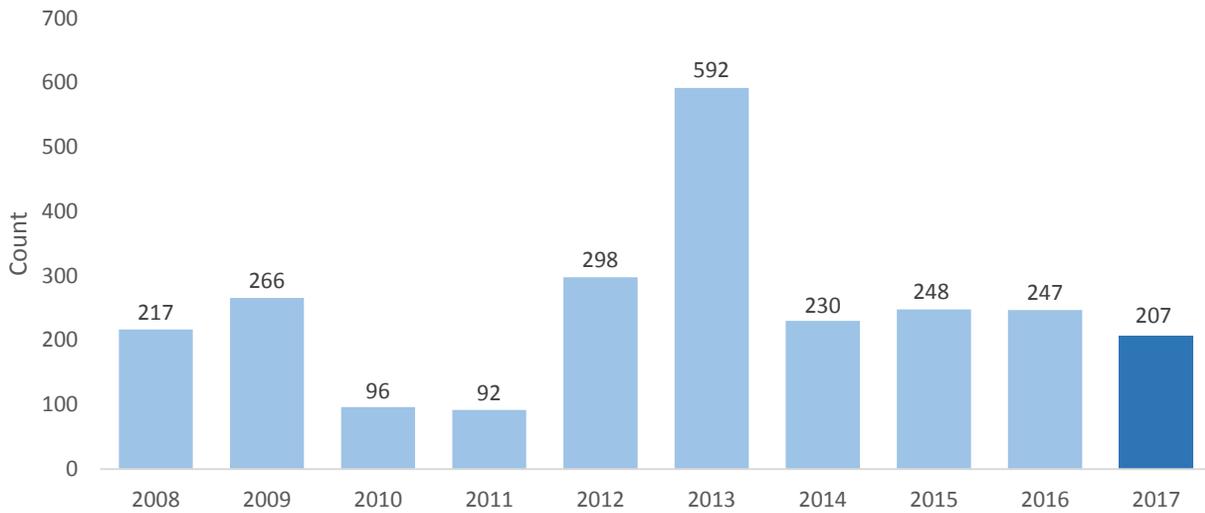
Reasons for Housing Area Lockdowns (2017)*

Reason	Percent of Total
Assault on Staff	53%
Contraband	41%
Inmate Fight	5%
Missing Razor	3%
Search	2%
Slashing/Stabbing	1%
SRG	1%
Tension	0%
Use of Force	0%
Other	0%
Number of Lockdowns	277

- Inmate-On-Inmate fight (53%, n=146) and use of force (41%, n=113) were the top two reasons for housing area lockdowns in EMTC in 2017.

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

Annual Total Number of GMDC Lockdowns
January 2008 - November 2017

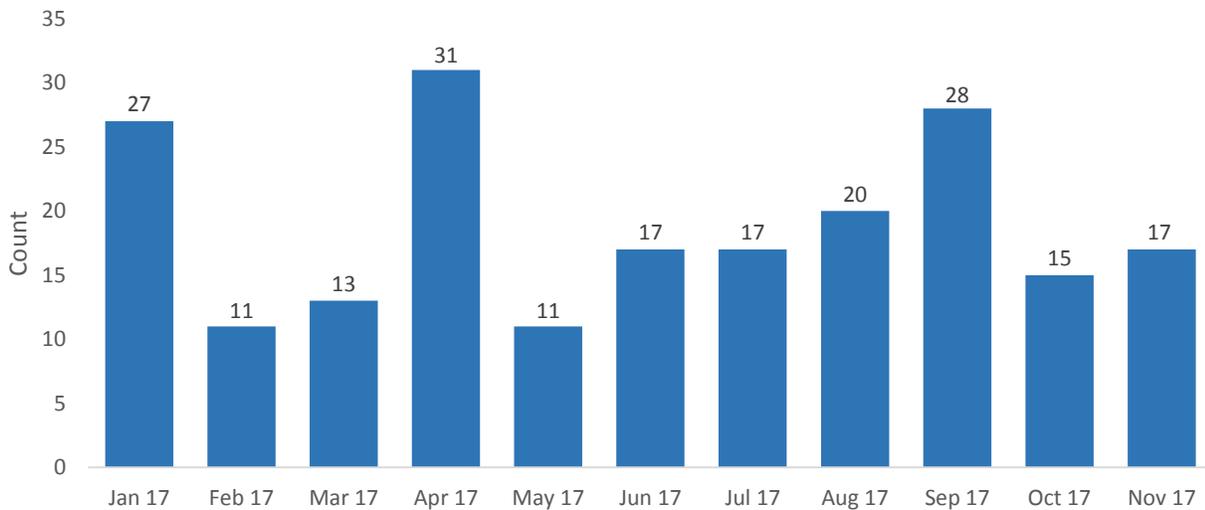


SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY 2008-NOVEMBER 2017 (on file).

Total Annual: Mean: 249 Median: 239 Min: 92 Max: 592

- The number of lockdowns remained relatively steady between 2008 and 2017 but peaked in 2013 (n=592).

2017 Monthly Total Number of GMDC Lockdowns
January - November 2017



SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY-NOVEMBER 2017 (on file).

2017 Monthly: Mean: 19 Median: 17 Min: 11 Max: 31

- In 2017, GMDC had a total of 207 lockdowns. Nineteen percent (19%, n=40) of lockdowns were facility-wide lockdowns, and 81% (n=167) affected one or more housing areas.*

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

GMDC

Reasons for Lockdowns (2017)*

Reason	Percent of Total
Tension	27%
Use of Force	26%
Search	16%
Slashing/Stabbing	11%
SRG	11%
Inmate Fight	8%
Assault on Staff	5%
Contraband	3%
Other	3%
Missing Razor	0%
Number of Lockdowns	207

- Inmate tension (27%, n=55), use of force (26%, n=54), and searches (16%, n=33) were the top three reasons for lockdowns in GMDC in 2017.

Reasons for Facility-Wide Lockdowns (2017)*

Reason	Percent of Total
Search	50%
Slashing/Stabbing	30%
Tension	13%
Use of Force	10%
SRG	8%
Assault on Staff	5%
Other	3%
Contraband	0%
Inmate Fight	0%
Missing Razor	0%
Number of Lockdowns	40

- From 2016 to 2017, GMDC experienced a 4% decrease in the use of facility-wide lockdowns.
- Searches (50%, n=20), slashing/stabbing (30%, n=12), and inmate tension (13%, n=5) were the top three reasons for facility-wide lockdowns in GMDC in 2017.

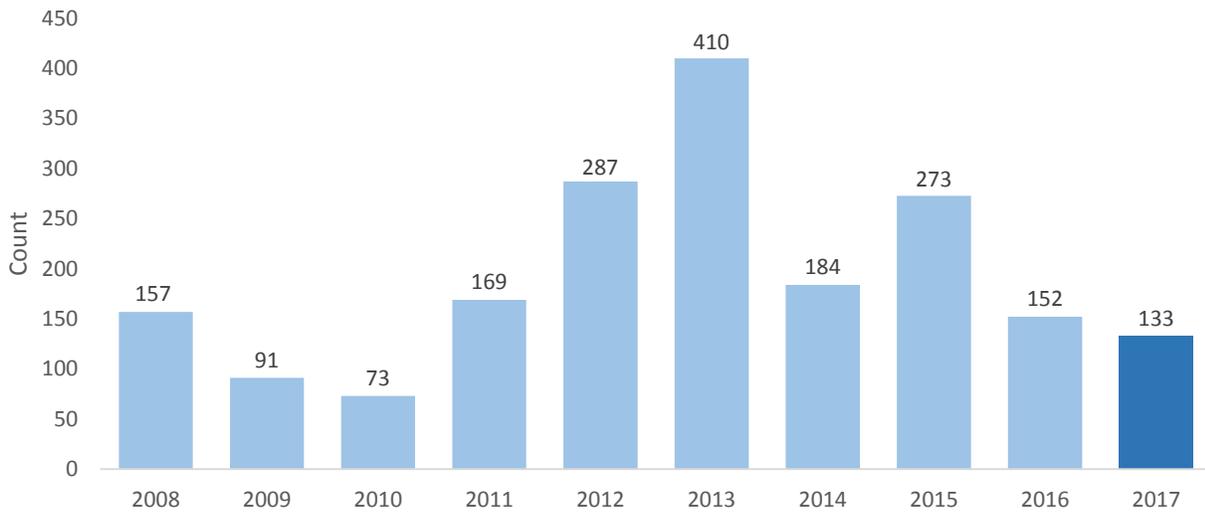
Reasons for Housing Area Lockdowns (2017)*

Reason	Percent of Total
Tension	30%
Use of Force	30%
SRG	11%
Inmate Fight	10%
Search	8%
Slashing/Stabbing	6%
Assault on Staff	5%
Contraband	4%
Other	3%
Missing Razor	0%
Number of Lockdowns	167

- Inmate tension (30%, n=50) and use of force (30%, n=50) were the top two reasons for housing area lockdowns in GMDC in 2017.

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

Annual Total Number of GRVC Lockdowns
January 2008 - November 2017

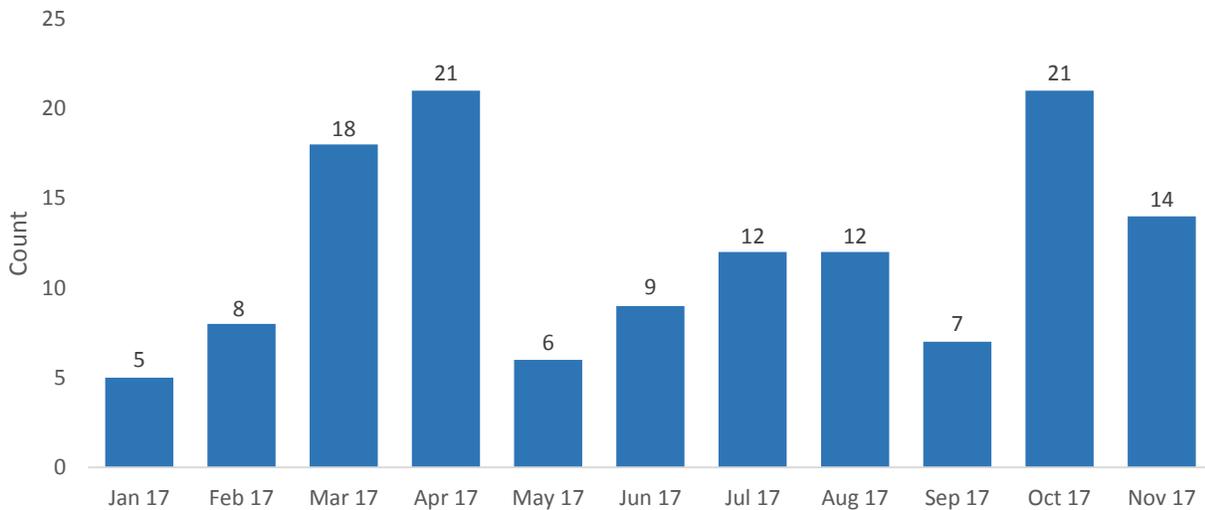


SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY 2008-NOVEMBER 2017 (on file).

Total Annual: Mean: 193 Median: 163 Min: 73 Max: 410

- The number of lockdowns remained relatively steady with a peak in 2013 (n=410).

2017 Monthly Total Number of GRVC Lockdowns
January - November 2017



SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY-NOVEMBER 2017 (on file).

2017 Monthly: Mean: 12 Median: 12 Min: 5 Max: 21

- In 2017, GRVC had a total of 128 lockdowns. Twenty-one percent (21%, n=27) of lockdowns were facility-wide lockdowns, and 79% (n=101) affected one or more housing areas.*

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

GRVC

Reasons for Lockdowns (2017)*

Reason	Percent of Total
Use of Force	38%
Slashing/Stabbing	26%
Search	20%
Tension	16%
SRG	3%
Inmate Fight	2%
Contraband	2%
Other	2%
Missing Razor	0%
Assault on Staff	0%
Number of Lockdowns	128

- Use of force (38%, n=49), slashing/stabbing (26%, n=33), and searches (20%, n=26) were the top three reasons for lockdowns in GRVC in 2017.

Reasons for Facility-Wide Lockdowns (2017)*

Reason	Percent of Total
Slashing/Stabbing	56%
Search	33%
Use of Force	11%
Tension	4%
SRG	4%
Contraband	4%
Other	0%
Missing Razor	0%
Inmate Fight	0%
Assault on Staff	0%
Number of Lockdowns	27

- From 2016 to 2017, GRVC experienced a 2% increase in the use of facility-wide lockdowns.
- Slashing/stabbing (56%, n=15), searches (33%, n=9), and use of force (11%, n=3) were the top three reasons for facility-wide lockdowns in GRVC in 2017.

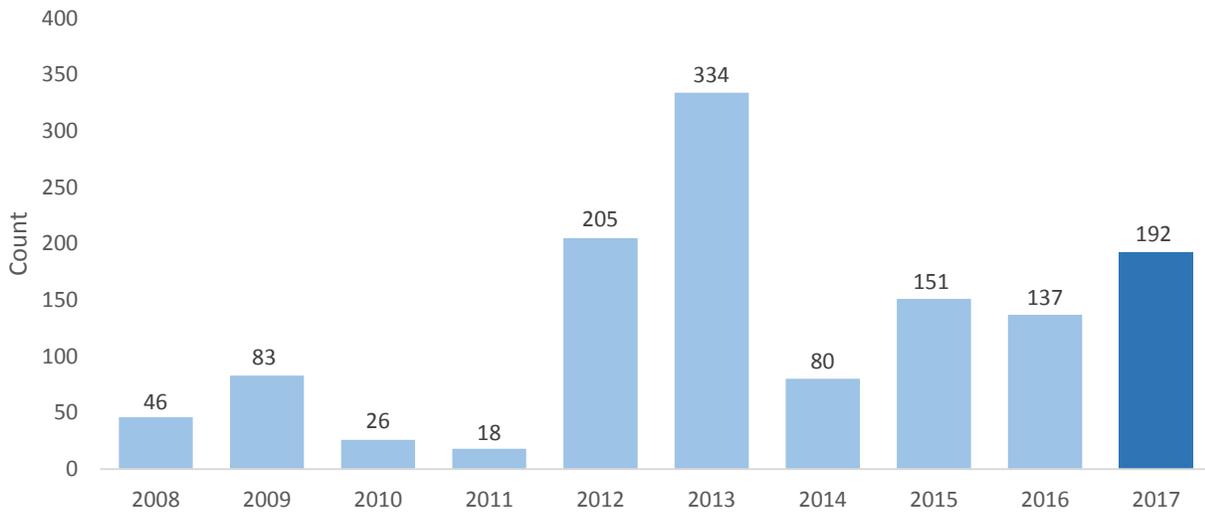
Reasons for Housing Area Lockdowns (2017)*

Reason	Percent of Total
Use of Force	46%
Tension	19%
Slashing/Stabbing	18%
Search	17%
Inmate Fight	3%
SRG	3%
Contraband	2%
Other	2%
Assault on Staff	0%
Missing Razor	0%
Number of Lockdowns	101

- Use of force (46%, n=46), inmate tension (19%, n=19), slashing/stabbing (18%, n=18), and searches (17%, n=17) were the top reasons for housing area lockdowns in GRVC in 2017.

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

Annual Total Number of MDC Lockdowns
January 2008 - November 2017

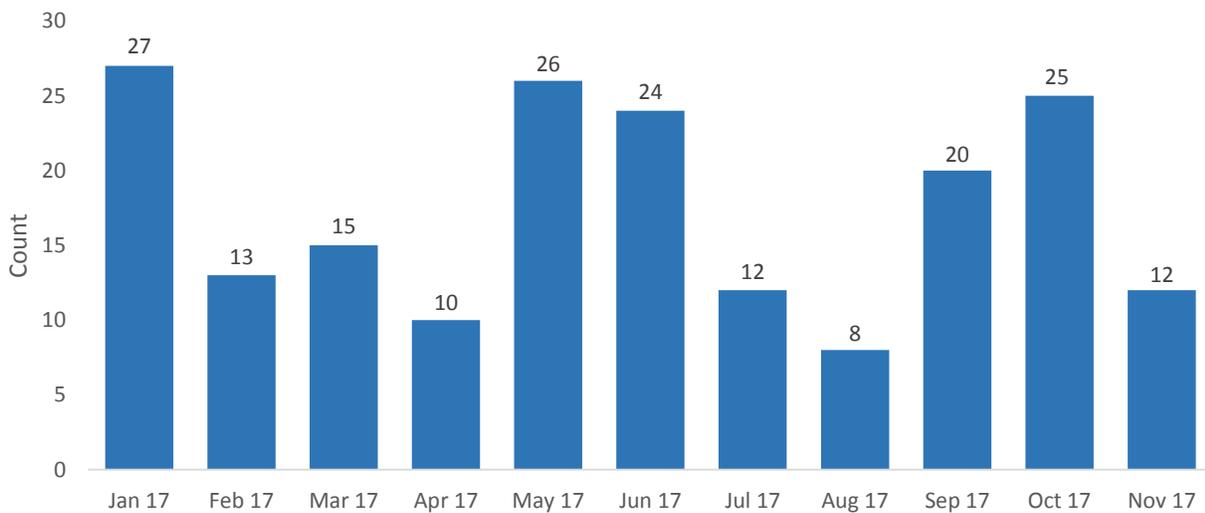


SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY 2008-NOVEMBER 2017 (on file).

Total Annual: Mean: 127 Median: 110 Min: 18 Max: 334

- Lockdowns increased by 317% between 2008 and 2017.
- There was a peak in the number of lockdowns in 2013 (n=334).

2017 Monthly Total Number of MDC Lockdowns
January - November 2017



SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY-NOVEMBER 2017 (on file).

2017 Monthly: Mean: 18 Median: 15 Min: 8 Max: 27

- In 2017, MDC had a total of 195 lockdowns. Thirty percent (30%, n=58) of lockdowns were facility-wide lockdowns, and 70% (n=137) affected one or more housing areas.*

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

MDC

Reasons for Lockdowns (2017)*

Reason	Percent of Total
Use of Force	37%
Slashing/Stabbing	28%
Tension	24%
Inmate Fight	9%
Search	6%
Assault on Staff	2%
Contraband	1%
Missing Razor	1%
Other	1%
SRG	0%
Number of Lockdowns	195

- Use of force (37%, n=72), slashing/stabbing (28%, n=54), and inmate tension (24%, n=46) were the top three reasons for lockdowns in MDC in 2017.

Reasons for Facility-Wide Lockdowns (2017)*

Reason	Percent of Total
Slashing/Stabbing	71%
Search	19%
Use of Force	12%
Assault on Staff	0%
Contraband	0%
Inmate Fight	0%
Missing Razor	0%
SRG	0%
Tension	0%
Other	0%
Number of Lockdowns	58

- Slashing/stabbing (71%, n=41), searches (19%, n=11), and use of force (12%, n=7) were the reasons for facility-wide lockdowns in MDC in 2017.

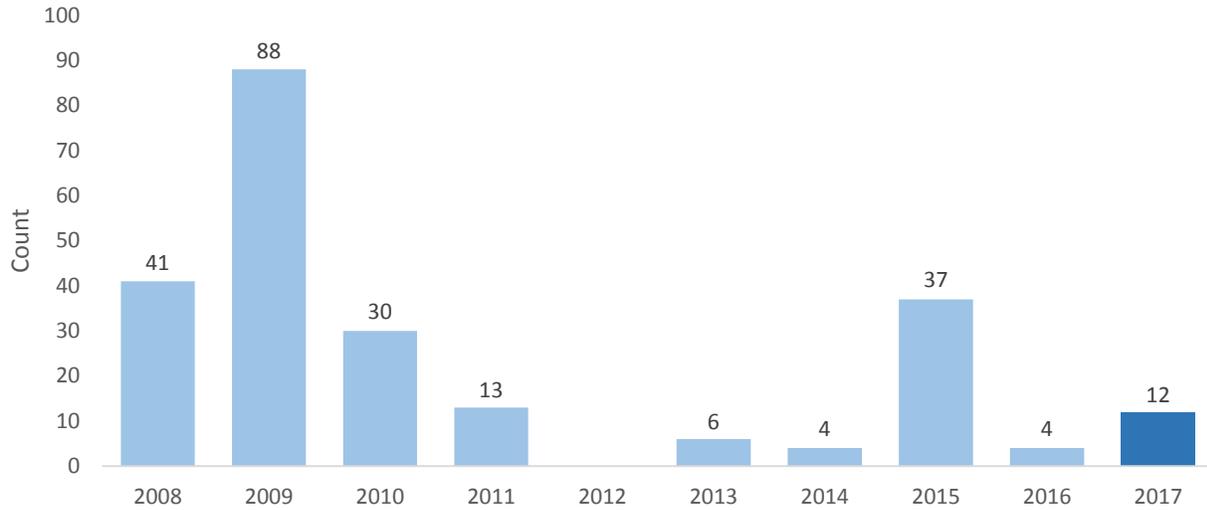
Reasons for Housing Area Lockdowns (2017)*

Reason	Percent of Total
Use of Force	47%
Tension	34%
Inmate Fight	13%
Slashing/Stabbing	9%
Assault on Staff	3%
Contraband	1%
Missing Razor	1%
Search	1%
Other	1%
SRG	0%
Number of Lockdowns	137

- Use of force (47%, n=65), inmate tension (34%, n=46), and inmate-on-inmate fight (13%, n=18) were the top three reasons for housing area lockdowns in MDC in 2017.

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

Annual Total Number of NIC Lockdowns
January 2008 - November 2017

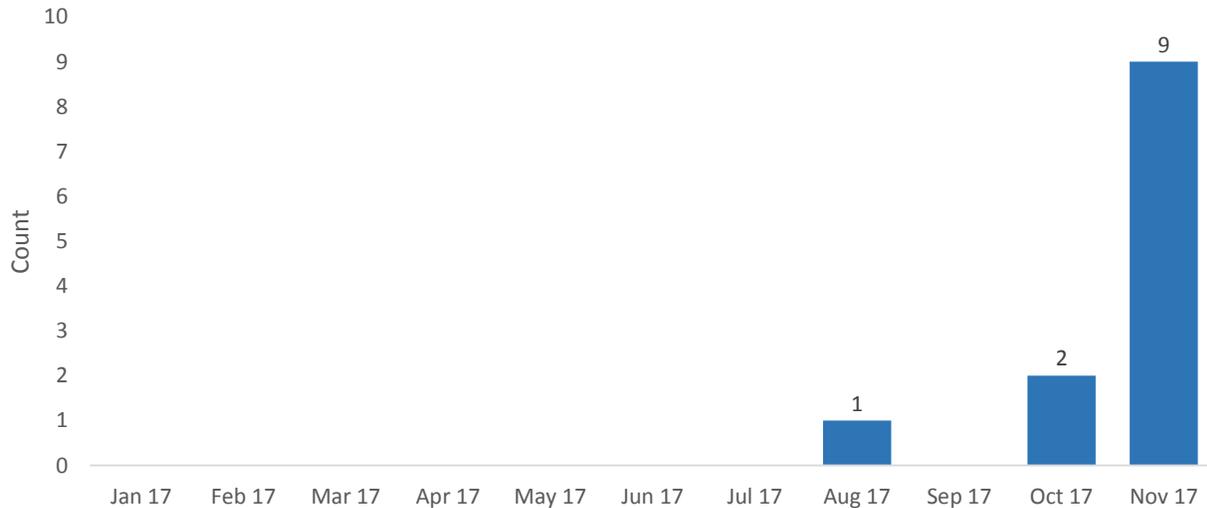


SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY 2008-NOVEMBER 2017 (on file). NIC closed from January to March 2016 and from January to September 2017. NIC Infirmary was open for the entire period.

Total Annual: Mean: 24 Median: 13 Min: 0 Max: 88

- The number of lockdowns decreased by 71% between 2008 and 2017.
- There was a peak in the number of lockdowns in 2009 (n=88).

2017 Monthly Total Number of NIC Lockdowns
January - November 2017



SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY-NOVEMBER 2017 (on file). NIC closed from January to September 2017. NIC Infirmary was open for the entire period.

2017 Monthly: Mean: 1 Median: 0 Min: 0 Max: 9

- In 2017, NIC had a total of 12 lockdowns, all of which affected one or more housing areas.*

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

NIC

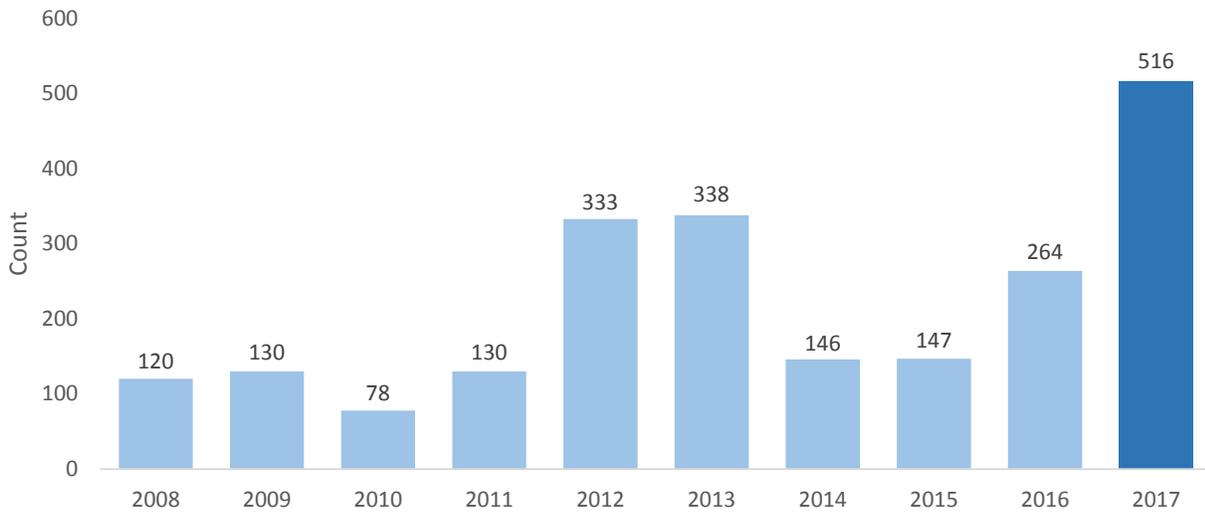
Reasons for Lockdowns (2017)*

Reason	Percent of Total
Use of Force	75%
Assault on Staff	17%
Other	8%
Contraband	0%
Inmate Fight	0%
Missing Razor	0%
Search	0%
Slashing/Stabbing	0%
SRG	0%
Tension	0%
Number of Lockdowns	12

- Use of force (75%, n=9), assault on staff (17%, n=2), and other reasons (8%, n=1) were the reasons for lockdowns in NIC in 2017.
 - “Other reasons” could include distribution of uniforms, splashing, etc.

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

Annual Total Number of OBCC Lockdowns
January 2008 - November 2017

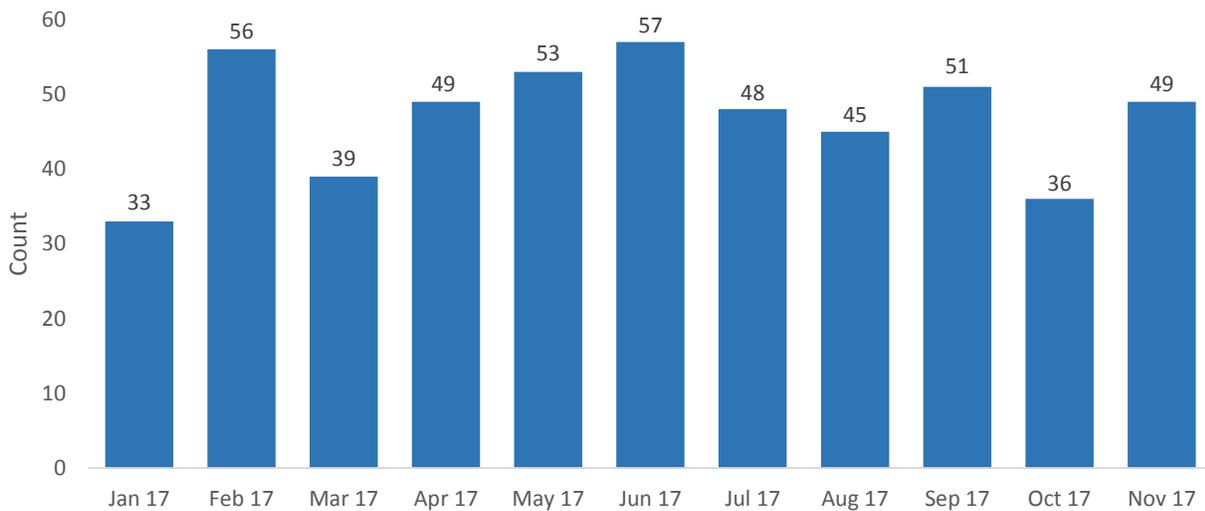


SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY 2008-NOVEMBER 2017 (on file).

Total Annual: Mean: 220 Median: 147 Min: 78 Max: 516

- The number of lockdowns remained relatively steady with peaks in 2012 (n=333), 2013 (n=338), and 2017 (n=516).

2017 Monthly Total Number of OBCC Lockdowns
January - November 2017



SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY-NOVEMBER 2017 (on file).

2017 Monthly: Mean: 47 Median: 49 Min: 33 Max: 57

- In 2017, OBCC had a total of 500 lockdowns. Fifteen percent (15%, n=76) of lockdowns were facility-wide lockdowns, and 85% (n=424) affected one or more housing areas.*

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

OBCC

Reasons for Lockdowns (2017)*

Reason	Percent of Total
Use of Force	51%
Tension	27%
Slashing/Stabbing	20%
Assault on Staff	6%
Search	4%
Inmate Fight	3%
Other	2%
Contraband	1%
SRG	0%
Missing Razor	0%
Number of Lockdowns	500

- Use of force (51%, n=255), inmate tension (27%, n=137), and slashing/stabbing (20%, n=100) were the top three reasons for lockdowns in OBCC in 2017.

Reasons for Facility-Wide Lockdowns (2017)*

Reason	Percent of Total
Slashing/Stabbing	54%
Use of Force	21%
Search	18%
Assault on Staff	7%
Tension	4%
Other	3%
Contraband	0%
Inmate Fight	0%
Missing Razor	0%
SRG	0%
Number of Lockdowns	76

- From 2016 to 2017, OBCC experienced a 7% decrease in the use of facility-wide lockdowns.
- Slashing/stabbing (54%, n=41), use of force (21%, n=16), and searches (18%, n=14) were the top three reasons for facility-wide lockdowns in OBCC in 2017.

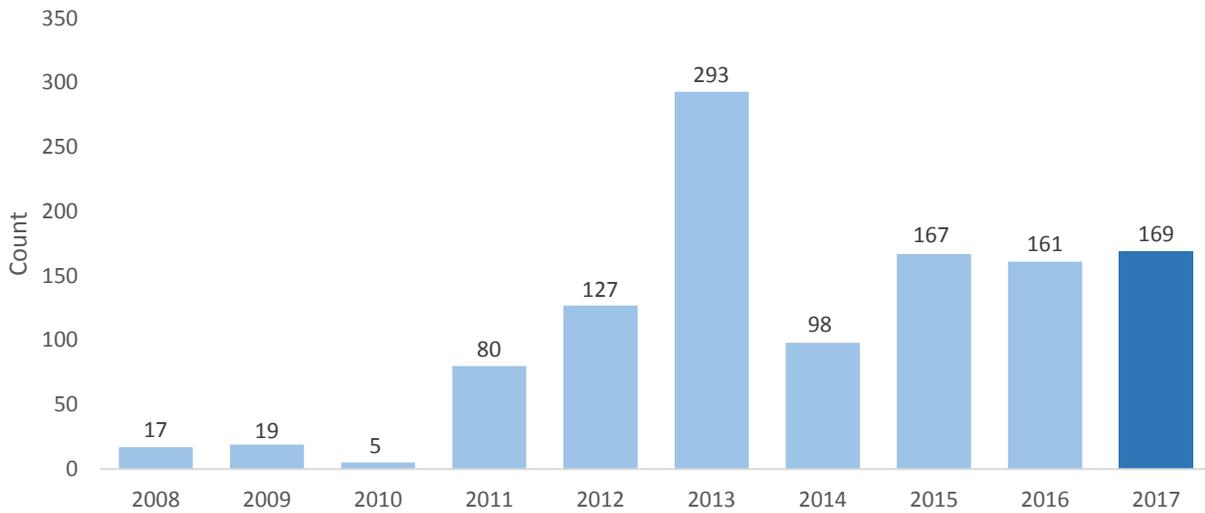
Reasons for Housing Area Lockdowns (2017)*

Reason	Percent of Total
Use of Force	56%
Tension	32%
Slashing/Stabbing	14%
Assault on Staff	6%
Inmate Fight	3%
Other	2%
Search	2%
Contraband	1%
SRG	0%
Missing Razor	0%
Number of Lockdowns	424

- Use of force (56%, n=239), inmate tension (32%, n=134), and slashing/stabbing (14%, n=59) were the top three reasons for housing area lockdowns in OBCC in 2017.

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

Annual Total Number of RMSC Lockdowns
January 2008 - November 2017

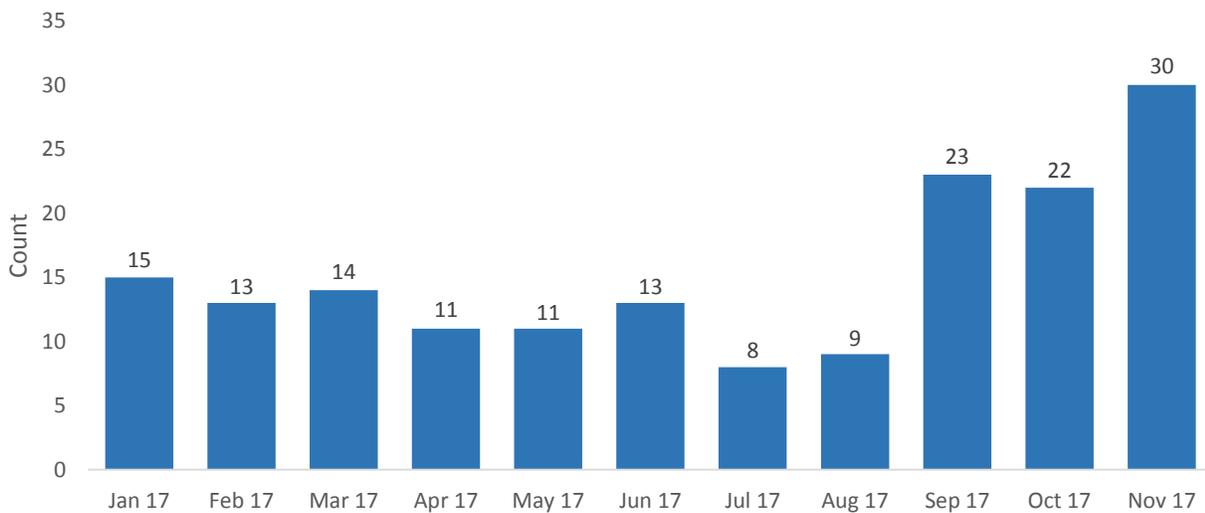


SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY 2008-NOVEMBER 2017 (on file).

Total Annual: Mean: 114 Median: 113 Min: 5 Max: 293

- The number of lockdowns increased by 894% between 2008 and 2017.
- There was a peak in lockdowns in 2013 (n=293).

2017 Monthly Total Number of RMSC Lockdowns
January - November 2017



SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY-NOVEMBER 2017 (on file).

2017 Monthly: Mean: 15 Median: 13 Min: 8 Max: 30

- In 2017, RMSC had a total of 165 lockdowns. Ten percent (10%, n=16) of lockdowns were facility-wide lockdowns, and 90% (n=149) affected one or more housing areas.*

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

RMSC

Reasons for Lockdowns (2017)*

Reason	Percent of Total
Use of Force	43%
Inmate Fight	32%
Tension	17%
Search	8%
Assault on Staff	2%
Slashing/Stabbing	1%
Contraband	0%
Missing Razor	0%
SRG	0%
Other	0%
Number of Lockdowns	165

- Use of force (43%, n=71), inmate-on-inmate fight (32%, n=52), and inmate tension (17%, n=28) were the top three reasons for lockdowns in RMSC in 2017.

Reasons for Facility-Wide Lockdowns (2017)*

Reason	Percent of Total
Search	75%
Tension	13%
Slashing/Stabbing	6%
Use of Force	6%
Assault on Staff	0%
Contraband	0%
Inmate Fight	0%
Missing Razor	0%
SRG	0%
Other	0%
Number of Lockdowns	16

- From 2016 to 2017, RMSC experienced an 8% increase in the use of facility-wide lockdowns.
- Searches (75%, n=12) and inmate tension (13%, n=2) were the top two reasons for facility-wide lockdowns in RMSC in 2017.

Reasons for Housing Area Lockdowns (2017)*

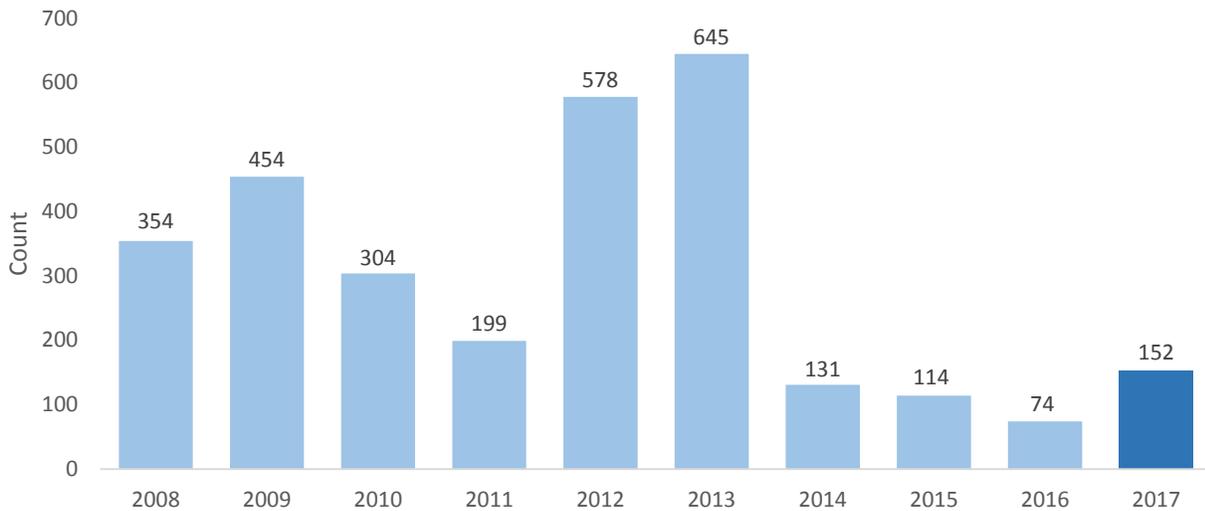
Reason	Percent of Total
Use of Force	47%
Inmate Fight	35%
Tension	17%
Assault on Staff	3%
Search	1%
Contraband	0%
Missing Razor	0%
Slashing/Stabbing	0%
SRG	0%
Other	0%
Number of Lockdowns	149

- Use of force (47%, n=70), inmate-on-inmate fight (35%, n=52), and inmate tension (17%, n=26) were the top three reasons for housing area lockdowns in RMSC in 2017.

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

RNDC

Annual Total Number of RNDC Lockdowns
January 2008 - November 2017

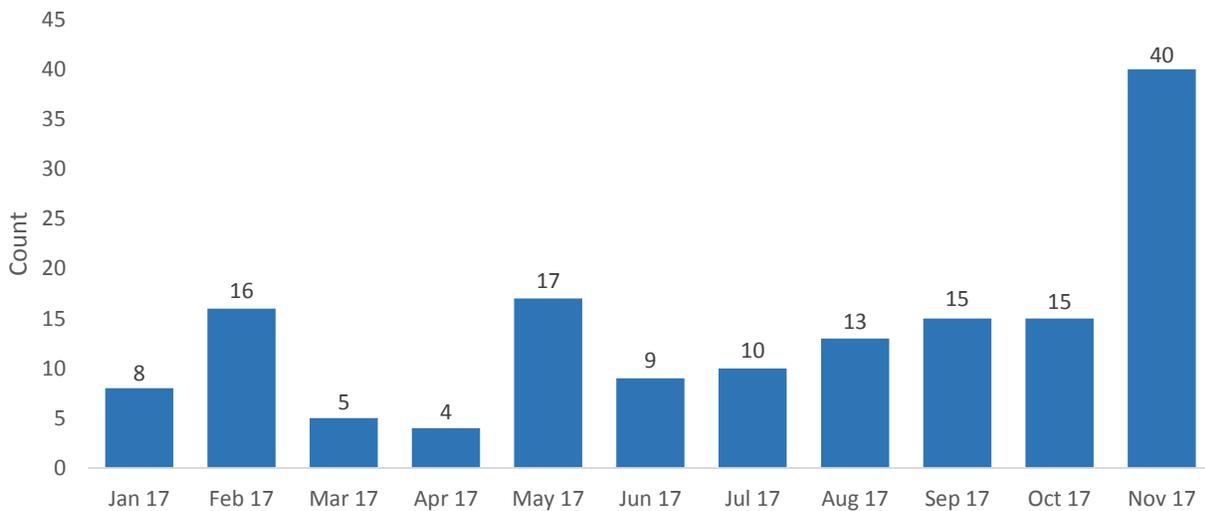


SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY 2008-NOVEMBER 2017 (on file).

Total Annual: Mean: 301 Median: 252 Min: 74 Max: 645

- There was a 57% decrease in lockdowns between 2008 and 2017 with peaks in 2012 (n=578) and 2013 (n=645).

2017 Monthly Total Number of RNDC Lockdowns
January - November 2017



SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY-NOVEMBER 2017 (on file).

2017 Monthly: Mean: 14 Median: 13 Min: 4 Max: 40

- In 2017, RNDC had a total of 149 lockdowns. Eleven percent (11%, n=16) of lockdowns were facility-wide lockdowns, and 89% (n=133) affected one or more housing areas.*

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

RNDC

Reasons for Lockdowns (2017)*

Reason	Percent of Total
Tension	48%
Use of Force	32%
Search	7%
Assault on Staff	4%
Missing Razor	4%
Slashing/Stabbing	3%
SRG	3%
Contraband	2%
Inmate Fight	1%
Other	1%
Number of Lockdowns	149

- Inmate tension (48%, n=71), use of force (32%, n=47), and searches (7%, n=11) were the top three reasons for lockdowns in RNDC in 2017.

Reasons for Facility-Wide Lockdowns (2017)*

Reason	Percent of Total
Search	50%
Use of Force	31%
Slashing/Stabbing	19%
Assault on Staff	0%
Contraband	0%
Inmate Fight	0%
Missing Razor	0%
SRG	0%
Tension	0%
Other	0%
Number of Lockdowns	16

- From 2016 to 2017, RNDC experienced a 4% decrease in the use of facility-wide lockdowns.
- Searches (50%, n=8), use of force (31%, n=5), and slashing/stabbing (19%, n=3) were the reasons for facility-wide lockdowns in RNDC in 2017.

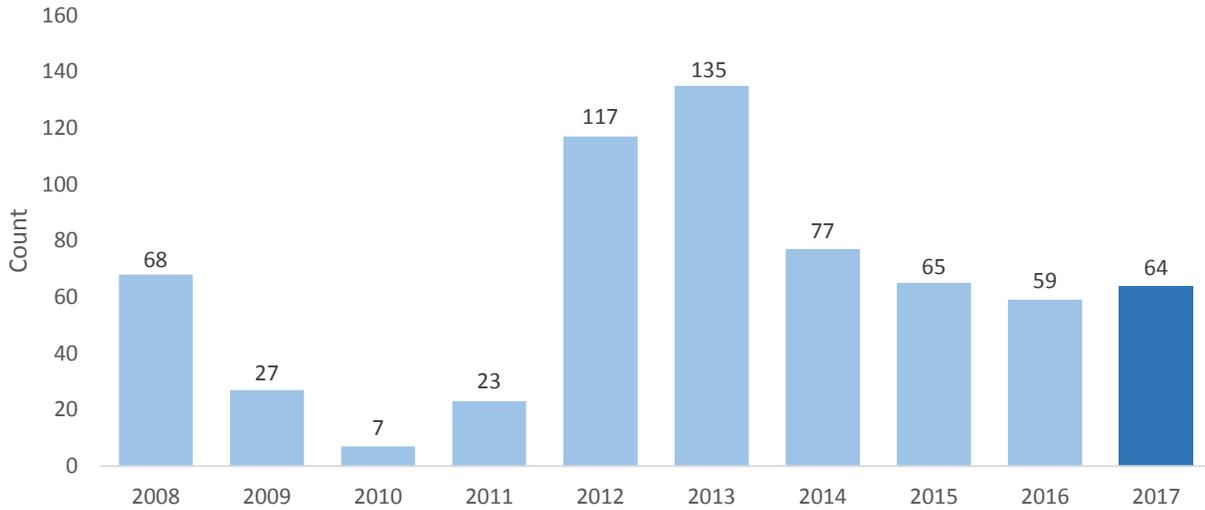
Reasons for Housing Area Lockdowns (2017)*

Reason	Percent of Total
Tension	53%
Use of Force	32%
Assault on Staff	5%
Missing Razor	5%
SRG	3%
Contraband	2%
Search	2%
Inmate Fight	2%
Slashing/Stabbing	1%
Other	1%
Number of Lockdowns	133

- Inmate tension (53%, n=71) and use of force (32%, n=42) were the top two reasons for housing area lockdowns in RNDC in 2017.

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

Annual Total Number of VCBC Lockdowns
January 2008 - November 2017

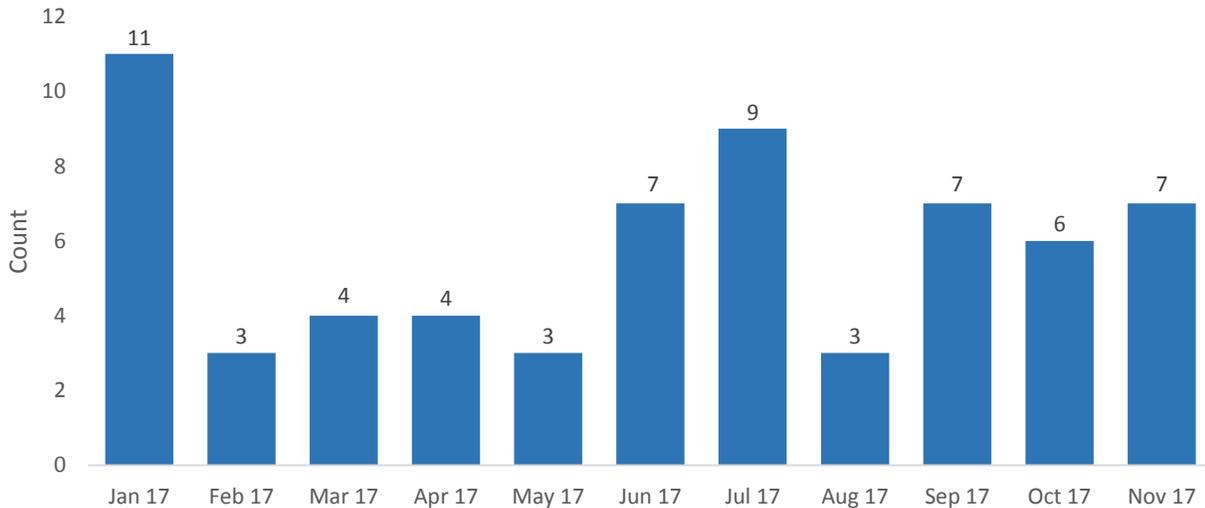


SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY 2008-NOVEMBER 2017 (on file).

Total Annual: Mean: 64 Median: 65 Min: 7 Max: 135

- There was a 66% decrease in lockdowns between 2008 and 2011, followed by a spike in 2012 (n=117) and 2013 (n=135).
- Lockdowns decreased by 127% between 2013 and 2017.

2017 Monthly Total Number of VCBC Lockdowns
January - November 2017



SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY-NOVEMBER 2017 (on file).

2017 Monthly: Mean: 6 Median: 6 Min: 3 Max: 11

- In 2017, VCBC had a total of 68 lockdowns. Forty-three percent (43%, n=29) of lockdowns were facility-wide lockdowns, and 57% (n=39) affected one or more housing areas.*

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

VCBC

Reasons for Lockdowns (2017)*

Reason	Percent of Total
Slashing/Stabbing	31%
Tension	21%
Inmate Fight	19%
Use of Force	18%
Search	9%
Assault on Staff	3%
Contraband	3%
Missing Razor	0%
SRG	0%
Other	0%
Number of Lockdowns	68

- Slashing/Stabbing (31%, n=21), inmate tension (21%, n=14), and inmate-on-inmate fight (19%, n=13) were the top three reasons for lockdowns in VCBC in 2017.

Reasons for Facility-Wide Lockdowns (2017)*

Reason	Percent of Total
Slashing/Stabbing	59%
Search	21%
Use of Force	10%
Inmate Fight	7%
Assault on Staff	3%
Tension	3%
Contraband	0%
Missing Razor	0%
SRG	0%
Other	0%
Number of Lockdowns	29

- From 2016 to 2017, VCBC experienced a 29% increase in the use of facility-wide lockdowns.
- Slashing/Stabbing (59%, n=17), searches (21%, n=6), and use of force (10%, n=3) were the top three reasons for facility-wide lockdowns in VCBC in 2017.

Reasons for Housing Area Lockdowns (2017)*

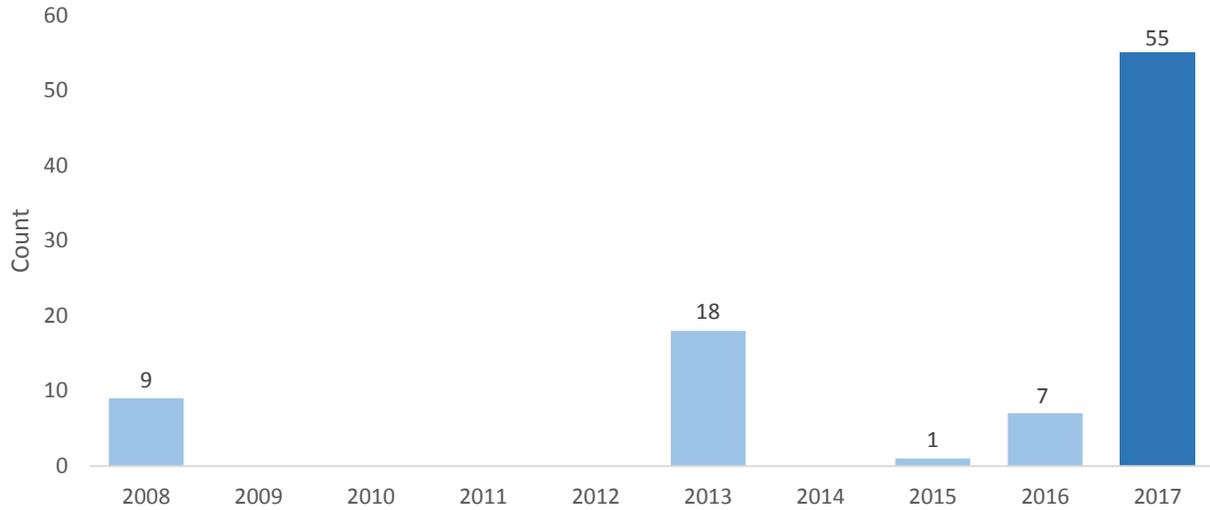
Reason	Percent of Total
Tension	33%
Inmate Fight	28%
Use of Force	23%
Slashing/Stabbing	10%
Contraband	5%
Assault on Staff	3%
Missing Razor	0%
Search	0%
SRG	0%
Other	0%
Number of Lockdowns	39

- Inmate tension (33%, n=13), inmate-on-inmate fight (28%, n=11), and use of force (23%, n=9) were the top three reasons for housing area lockdowns in VCBC in 2017.

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

West Facility

Annual Total Number of West Facility Lockdowns
January 2008 - November 2017

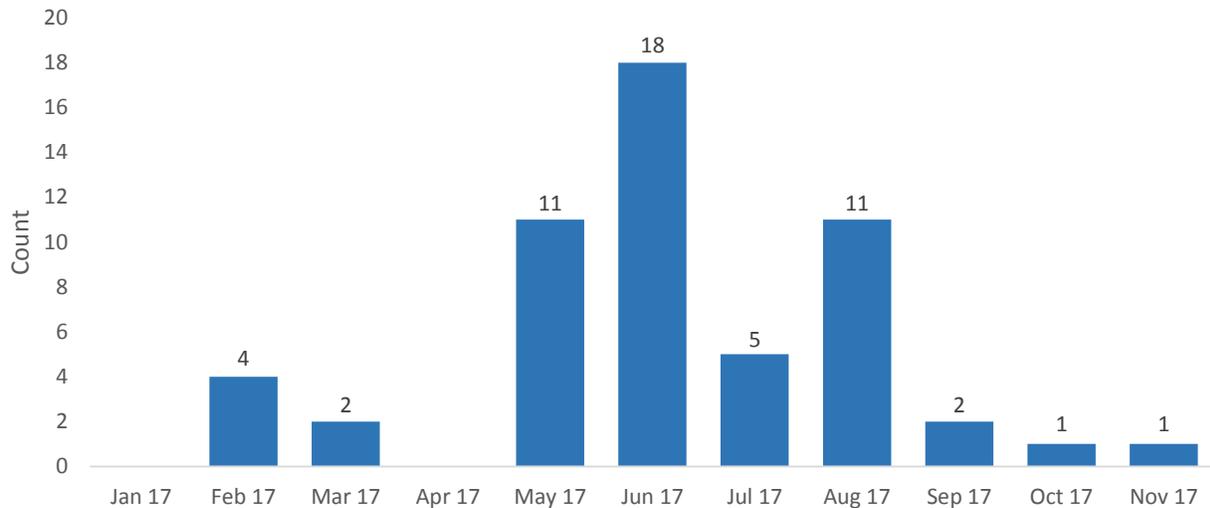


SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY 2008-NOVEMBER 2017 (on file). NOTE: From January 2016 to November 2017, the ADP in West Facility increased by 63%.

Total Annual: Mean: 9 Median: 1 Min: 0 Max: 55

- There was a 540% increase in lockdowns between 2015 and 2017.

2017 Monthly Total Number of West Facility Lockdowns
January - November 2017



SOURCE: DOC MONTHLY SECURITY STATISTICAL REPORTS – JANUARY-NOVEMBER 2017 (on file).

2017 Monthly: Mean: 5 Median: 2 Min: 0 Max: 18

- In 2017, West Facility had a total of 53 lockdowns. Eighty-three percent (83%, n=44) of lockdowns were facility-wide lockdowns, and 17% (n=9) affected one or more housing areas.*

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).

West Facility

Reasons for Lockdowns (2017)*

Reason	Percent of Total
Use of Force	57%
Search	15%
Tension	15%
Assault on Staff	8%
Slashing/Stabbing	6%
SRG	2%
Other	2%
Contraband	0%
Inmate Fight	0%
Missing Razor	0%
Number of Lockdowns	53

- Use of force (57%, n=30), searches (15%, n=8), and inmate tension (15%, n=8) were the top three reasons for lockdowns in West Facility in 2017.

Reasons for Facility-Wide Lockdowns (2017)*

Reason	Percent of Total
Use of Force	55%
Tension	18%
Search	16%
Assault on Staff	5%
Slashing/Stabbing	5%
SRG	2%
Other	2%
Contraband	0%
Inmate Fight	0%
Missing Razor	0%
Number of Lockdowns	44

- From 2016 to 2017, the West Facility experienced a 3% increase in the use of facility-wide lockdowns.
- Use of force (55%, n=24), inmate tension (18%, n=8), and searches (10%, n=7) were the top three reasons for facility-wide lockdowns in West Facility in 2017.

Reason for Housing Area Lockdowns (2017)*

Reason	Percent of Total
Use of Force	67%
Assault on Staff	22%
Search	11%
Slashing/Stabbing	11%
Contraband	0%
Inmate Fight	0%
Missing Razor	0%
SRG	0%
Tension	0%
Other	0%
Number of Lockdowns	9

- Use of force (67%, n=6) and assault on staff were the top two reasons for housing area lockdowns in West Facility in 2017.

* SOURCE: BOC coding of DOC 24-Hour COD Reports (January 2016 – November 2017).